## AP3 Rec'd PCT/PTO 09 MAY 2000

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER NL 031377

CON	ICERNING A SUBMISSIO	N UNDER 35 U.S.C. 371	U.S. APRICATION NO / (IJ known / see 27 CFR 1.5)
	TIONAL APPLICATION NO. 2004/052393	INTERNATIONAL FILING DATE November 11, 2004	PRIORITY DATE CLAIMED November 14, 2003
	INVENTION D AND DEVICE FOR DRIVING A M	1ETAL HALIDE LAMP	
	NT(S) FOR DO/EO/US		
	opold Victorina HENDRIX t herewith submits to the United Sta	ates Designated/Elected Office (DO/E)	O/US) the following items and other information:
		nceming a submission under 35 U.S.C. 37	
		ubmission of items concerning a submission	
		onal examination procedures (35 U.S.C. 37	
J	(5), (6), (9) and (21) indicated below.	onal examination procedures (33 0.0.0. 37	T(i)). The submission must include items
4.	The US has been elected (Article 31).		
5. ✓	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))	
	a. is attached hereto (required	only if not communicated by the Internation	nal Bureau).
	b.  has been communicated by	the International Bureau.	
	c. is not required, as the applic	cation was filed in the United States Receiv	ring Office (RO/US).
6. 🗀	An English language translation of the	e International Application as filed (35 U.S.	C. 371(c)(2)).
	a. is attached hereto.		
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).	
7.	Amendments to the claims of the Inter	mational Application under PCT Article 19	(35 U.S.C. 371(c)(3))
	a. are attached hereto (requir	red only if not communicated by the Interna	itional Bureau).
	b. have been communicated to	by the International Bureau.	1 1
	c. have not been made; howe	ever, the time limit for making such amendr	nents has NOT expired.
	d. 🗹 have not been made and w	vill not be made.	
8.	An English language translation of the	e amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).
9. 🗸	An oath or declaration of the inventor(	(s) (35 U.S.C. 371(c)(4)).	
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	Examination Report under PCT
Items	11 to 20 below concern document(s)	) or Information included:	
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.	
12. 🗸	An assignment document for recording	g. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.
13. 🗸	A preliminary amendment.		
14. 📙	An Application Data Sheet under 37 C	CFR 1.76.	
15.	A substitute specification.	*•	
16. 🗹	A power of attorney and/or change of	address letter.	
17. 🔲	A computer-readable form of the sequ	uence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.
18. 🔲	A second copy of the published Intern	national Application under 35 U.S.C. 154(d)	(4).
19. 🔲		ge translation of the international application	
20. 🗸	Other items or information: Express M	Iail Certificate; PTO/SB/96; Receipt Con	firmation Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

## IAP20 Rec'd FULLIFTO 09 MAY 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICAT	TON NO. (if known	586 37 CFR C	international ai PCT/IB2004/052393	PPLICATION NO.	ATTORNEY'S DOC NL 031377	KET NUMBER	
The fel	lowing fees have b	oon submitted	T C 1/1B2004/032393				
			•••••	£200	\$ 200.00	PTO USE ONLY	
			•••••	\$300	\$ 300.00		
If International p PCT Article 3	3(1)-(4)	• • • • • • • • • • • • • • • • • • • •	ared by USPTO and all claims	\$100	\$ 200.00		
		<del></del>					
Search fee (37 C International Sea	onal Searching Aut arch Report prepar	hority ed and provided	he international application to to the Office	\$100 \$400	\$ 400.00		
741 Other Situation					·		
Additional fe	TOTAL OF 21, 22 e for specification	\$ 900.00					
sequence li	sting or computer	program listing file	ed in an electronic medium).	xoluting			
I ne tee is \$	250 for each addit	ional 50 sheets o	f paper or fraction thereof.	T			
Total Sheets	Extra Sheets		h additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =			x \$250	\$		
Surcharge of \$13 claimed priority of	30.00 for furnishing late (37 CFR 1.492	g the oath or deck 2(h)).	aration later than 30 months fi	om the earliest	\$		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE .	\$		
Total claims	38	- 20 =	18	x \$ 50	\$ 900.00		
Independent clai	ms 2	- 3 =		x \$200	\$ 0.00		
MULTIPLE DEPI	ENDENT CLAIM(S	if applicable)		+ \$360	\$	<u> </u>	
			TOTAL OF ABOVE	CALCULATIONS =	\$ 900.00		
Applicant cla	ims small entity st	atus. See 37 CFF	R 1.27. Fees above are reduc	ed by 1/2.			
				SUBTOTAL =	\$ 1,800.00		
	f <b>\$130.00</b> for furnis ate (37 CFR 1.492		translation later than 30 mont	hs from the earliest	\$		
			TOTAL	NATIONAL FEE =	\$ 1,800.00		
Fee for recording by an appropriate	the enclosed assi cover sheet (37 (	ignment (37 CFR CFR 3.28, 3.31).	1.21(h)). The assignment mu \$40.00 per property	st be accompanied +	\$ 40.00		
	-		TOTAL F	EES ENCLOSED =	\$ 1,840.00		
					Amount to be refunded:	\$	
					Amount to be charged:	\$ 1,840.00	
a A chec	k in the amount of	\$	to cover the abo	ve fees is enclosed.	charged.		
	charge my Depos				ver the above fees.		
A dupli	cate copy of this s	heet is enclosed.					
c.  The Con	mmissioner is here t No. <u>14-1270</u>	eby authorized to A duplicate cop	charge any additional fees whoy of this sheet is enclosed.	ich may be required, o	or credit any overpayment	to Deposit	
d. Fees ar	e to be charged to uded on this form	a credit card. W/	ARNING: Information on this farmation and authoriza	orm may become pub tion on PTO-2038.	lic. Credit card informati	on should not	
NOTE: Where ar	n appropriate time estore the Interna	e limit under 37 ( ational Applicati	CFR 1.495 has not been met on to pending status.	, a petition to revive	(37 CFR 1.137(a) or (b))	must be filed	
and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:							
Co	rporate Paten	t Counsel		SIGNATURE	Xram/		
			:	Robert J. Kraus			
	ps Electronics North	i America Corporal	lion	NAME		·	
	D. Box 3001	IV 40540		26,358			
Bri	arcliff Manor, N	1Y 70510		REGISTRATIO	N NUMBER		

## **20/578649**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

HENDRIX

NL 031377

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

METHOD AND DEVICE FOR DRIVING A METAL HALIDE LAMP

	CERTIFICATE OF EXPRESS MAILING
[X] Express Mail Mailing Labe	el No.
	EV 664 855 159-US
Date of Deposit:	5-9-06
Service "Express Mail Post O	er and/or fee is being deposited with the United States Postal ffice to Addressee" service under 37 C.F.R. 1.10 on the date ssed to the Commissioner for Patents, PO Box, 1450, Alexandria,
Service "Express Mail Post O indicated above and is addres	ffice to Addressee" service under 37 C.F.R. 1.10 on the date ssed to the Commissioner for Patents, PO Box, 1450, Alexandria,
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